

01/28/02

JC975 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

11302-0961 (44040-269284)

Inventors

SHAH ET AL.

Title

ION-SENSITIVE, WATER-DISPERSIBLE POLYMERS, A METHOD OF
MAKING SAME AND ITEMS USING SAME

Express Mail Label No.

EL910742041US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 117]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS

TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment:
a. ☐ Assignment Papers (cover sheet &
document(s))
b. ☒ Assignment is of record in parent
application No. 09/564,939
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

**18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:**☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09/564,939 / May 4, 2000

Prior application information: Examiner K.M. George

Group / Art Unit: 1616

Recite complete dependency back to first parent application:

**For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.****17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

23370

PATENT AND TRADEMARK OFFICE

| | | | |
|-------------------|--------------------|-----------------------------------|------------------|
| Name (Print/Type) | Robert E. Richards | Registration No. (Attorney/Agent) | 29,105 |
| Signature | | Date | January 28, 2002 |

FEE TRANSMITTAL

Attorney Docket No. 11302-0961 (4404-269284)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **COLE ET AL.**Filing Date: **January 28, 2002**Title: **ION-SENSITIVE, WATER-DISPERSIBLE POLYMERS, A METHOD OF MAKING SAME AND ITEMS USING SAME**

The filing fee is calculated as shown below:

1. FILING FEE:

| | | SMALL ENTITY | | LARGE ENTITY | |
|--|--|--------------|----------|--------------|----------|
| FOR: | | FEE | FEE PAID | FEE | FEE PAID |
| <input checked="" type="checkbox"/> UTILITY FILING FEE | | \$370 | | \$740 | 740 |
| <input type="checkbox"/> DESIGN FILING FEE | | \$165 | | \$330 | |
| <input type="checkbox"/> PLANT FILING FEE | | \$255 | | \$510 | |
| <input type="checkbox"/> REISSUE FILING FEE | | \$370 | | \$740 | |
| <input type="checkbox"/> PROVISIONAL FILING FEE | | \$80 | | \$160 | |
| SUBTOTAL (1) | | | \$ | | \$740 |

2. CLAIMS:

| SMALL ENTITY | | | | LARGE ENTITY | | |
|---|-----------|-----------|--------|--------------|--------|-----|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE |
| TOTAL CLAIMS | 14 - 20 = | 20 | x 9 = | | x 18 = | 0 |
| INDEP. CLAIMS | 3 - 3 = | 0 | x 42 = | | x 84 = | 0 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | +140 = | | +280 = | |
| SUBTOTAL (2) | | | | \$ | | \$0 |

3. ADDITIONAL FEES:

| | | SMALL ENTITY | | LARGE ENTITY | |
|--|--|--------------|----------|--------------|----------|
| FOR: | | FEE | FEE PAID | FEE | FEE PAID |
| <input type="checkbox"/> LATE FILING, FEE OR OATH | | \$65 | | \$130 | |
| <input type="checkbox"/> NON-ENGLISH SPECIFICATION | | \$130 | | \$130 | |
| <input type="checkbox"/> OTHER | | | | | |
| SUBTOTAL (3) | | | \$ | | \$0 |

TOTAL FILING FEES: \$740A check is enclosed for the total amount: **\$740**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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By: Robert E. Richards, Attorney for Applicant
Reg. No. 29,105Date: 1-28-02